

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Smith  
Serial No.: Unassigned Group Art Unit: Unassigned  
Filed: 08-20-04 Examiner: Unassigned  
For: VEHICLE DYNAMICS CONTROL SYSTEM  
Atty. Dkt. No.: 60,680-854

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Sir:

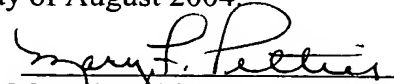
Applicant submits this Information Disclosure Statement in accordance with the duty of disclosure under 37 C.F.R. §1.56 and §1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b)(1). Enclosed herewith is the List of References Cited by Applicant (PTO-1449) (six sheets), as well as a copy of each reference listed thereon. In accordance with 37 C.F.R. §1.98(a)(2)(iv), a copy of an International Search Report obtained in connection with the priority application under the Patent Cooperation Treaty has been included herewith.

Inasmuch as each of the references listed on attached Form PTO-1449 is in English, no further commentary by Applicant is believed necessary, 37 C.F.R. §1.98(a)(3). Applicant respectfully solicits the Examiner's consideration of the cited references and entry thereof into the record of this application.

Applicant, by submitting this Information Disclosure Statement, is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Information Disclosure Statement is not intended to constitute an admission that any of the


**Certificate of Express Mailing**

I hereby certify that this Information Disclosure Statement Under 37 C.F.R. §1.97 is being deposited with the United States Postal Service as Express Mail No. ER521952249US, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 20<sup>th</sup> day of August 2004.

  
Mary F. Peltier

enclosed references, or other information referred to therein, is otherwise "material to patentability," as that term is defined in that section.

Respectfully submitted,

  
\_\_\_\_\_  
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Dated: 08-20-04

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| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i>   |  |                 |  | ATTY DOCKET NO.<br>60680-854 |       | APPLICATION NO.<br><b>107505394</b><br>Unassigned |                               |    |
|  |  |                 |  | APPLICANT(S)<br>Smith        |       |   |                               |    |
|  |  |                 |  | FILING DATE<br>08-20-04      |       | GROUP ART UNIT<br>Unassigned                      |                               |    |
| <b>U.S. PATENT DOCUMENTS</b>   |  |                 |  |                              |       |   |                               |    |
| *EXAMINER<br>INITIAL   |  | DOCUMENT NUMBER | DATE   | NAME                         | CLASS | SUBCLASS  | FILING DATE<br>IF APPROPRIATE |    |
|  |  | 4,639,014       | 01/27/1987   | Tanaka et al.                |       |   |                               |    |
|  |  | 4,696,489       | 09/29/1987   | Fujishiro et al.             |       |   |                               |    |
|  |  | 4,922,427       | 05/01/1990   | Yokote et al.                |       |   |                               |    |
|  |  | 5,101,355       | 03/31/1992   | Wada et al.                  |       |   |                               |    |
|  |  | 5,324,069       | 06/28/1994   | Ogawa                        |       |   |                               |    |
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| <b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i> |  |                 |  |                              |       |   |                               |    |
|  |  |                 | International Search Report issued in priority application PCT/US03/05116 (January 27, 2004) |                              |       |   |                               |    |
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| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i> | ATTY DOCKET NO.<br>60680-85 | APPLICATION NO.<br><b>10/505394</b><br><small>Unassigned</small> |
|  | Smith                       |  |
|  | FILING<br>08-20-04          | GROUP ART<br>Unassigned  |

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|                      | 5,367,459       | 11/12/1994 | Shtarkman et al. |       |          |                               |
|                      | 5,375,872       | 12/27/1994 | Ohtagaki et al.  |       |          |                               |
|                      | 5,445,405       | 08/29/1995 | Onozawa et al.   |       |          |                               |
|                      | 5,510,989       | 04/23/1996 | Zabler et al.    |       |          |                               |
|                      | 5,500,798       | 03/19/1996 | Inagaki          |       |          |                               |

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|                      | 5,701,245       | 12/13/1997 | Ogawa et al.    |       |          |                               |
|                      | 6,003,959       | 12/21/1999 | Katayose et al. |       |          |                               |
|                      | 6,098,007       | 08/01/2000 | Fritz           |       |          |                               |
|                      | 6,135,390       | 10/24/2000 | Sciulli et al.  |       |          |                               |
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|                      | 6,223,114 B1    | 04/24/2001 | Boros et al.   |       |          |                               |
|                      | 6,226,581 B1    | 05/01/2001 | Reimann et al. |       |          |                               |
|                      | 6,229,898 B1    | 05/08/2001 | Goodman        |       |          |                               |
|                      | 5,163,704       | 11/17/1992 | Wada           |       |          |                               |
|                      | 6,484,080 B2    | 11/19/2002 | Breed          |       |          |                               |

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|                      | 5,119,297       | 06/02/1992 | Buma et al.     |       |          |                               |
|                      | 5,123,497       | 06/23/1992 | Yopp et al.     |       |          |                               |
|                      | 4,768,840       | 09/06/1988 | Sullivan et al. |       |          |                               |
|                      | 6,199,874 B1    | 03/13/2001 | Galvin et al.   |       |          |                               |
|                      | 5,389,824       | 02/14/1995 | Moroto et al.   |       |          |                               |

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| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i> | Docket Number (Optional)<br><b>60680-854</b> | Application Number<br><b>10/505394</b><br>Unassigned |
|  | Applicant(s)<br><b>Smith</b>                 |  |
|  | Filing Date<br><b>08/20/04</b>               | Group Art Unit<br><b>Unassigned</b>                  |

**U.S. PATENT DOCUMENTS**

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|                      |     | 5,238,202       | 07/12/1994 | Kakizaki |       |          |                               |
|                      |     |                 |            |          |       |          |                               |
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